

Form PTO-1390U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 0388-020199

U.S. APPLICATION NO. (1/km) to see 37 CBB 1.5)

INTERNATIONAL APPLICATION NO.

PCT/JP00/05458

INTERNATIONAL FILING DATE
14.08.00 (14 August 2000)

PRIORITY DATES CLAIMED 17.08.99 (17 August 1999)

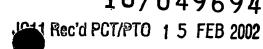
TITLE OF INVENTION

# EYE DROPS CONTAINER HAVING DENT PORTION

PPLICANT(S) FOR DO/EO/US Yoichi KAWASHIMA, Yukio KUSU and Hiroshi YAMADA
pplicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:
This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
A copy of the International Application as filed (35 U.S.C. 371(c)(2))
a. $\square$ is transmitted herewith (required only if not transmitted by the International Bureau).
b. As been transmitted by the International Bureau.
c. $\square$ is not required, as the application was filed in the United States Receiving Office (RO/US).
A translation of the International Application into English (35 U.S.C. 371(c)(2)).
Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
a. are transmitted herewith (required only if not transmitted by the International Bureau).
b.  have been transmitted by the International Bureau.
c.  ahave not been made; however, the time limit for making such amendments has NOT expired.
d. A have not been made and will not be made.
☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
D. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
ems 11. to 16. below concern document(s) or information included:
1. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
2. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
B. A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
1. A substitute specification.
5. A change of power of attorney and/or address letter.
6. Other items or information: a. WO 01/12125-Front Page (2 pp.) And International Search Report (2 pp.)

(January 1996)

U.S. APPICATION NO	APPICATION NO. 49694 INTERNATIONAL APPLICATION NO. PCT/JP00/05458		ION NO.	ATTORNEY'S DOCKET NUMBER 0388-020199	
17. The following fees are submitted:  BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):  Search Report has been prepared by the EPO or JPO				CALCULATIONS PTO USE ONLY	
International preliminary examination fee paid to USPTO (37 CFR 1.482)					
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))					
Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO					
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)					
ENTER APPROPRIATE BASIC FEE AMOUNT =					
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 🗵 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	6 - 20	0	X \$18.00	\$ 0.00	
Independent claims	1 - 3 =	О	X \$84.00	\$ 0.00	
MULTIPLE DEPENDENT	CLAIM(S) (if applicable)		+ \$280.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1020.00	
Reduction of 1/2 for filing by small entity, if applicable.				\$ 0.00	
SUBTOTAL =				\$ 1020.00	
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 1020.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 0.00	
TOTAL FEES ENCLOSED =				\$ 1020.00	
				Amount to be: Refunded	\$
				Charged	\$
a. 🛛 A check in the amou	unt of \$ 1020 to cover the above for	ees is enclosed.			
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.					
c.  The Assistant Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0650</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Russell D. Orkin 700 Koppers Building					
Russell D. Orkin 700 Koppers Building	N. Ch	16			
436 Seventh Avenue SIGNATURE					
Pittsburgh, Pennsylvania 15219-1818 Russell D. Orkin			ell D. Orkin		
Telephone: (412) 471-8815 NAME			-2		
Facsimile: (412) 471-4094 25,363  REGISTRATION NUMBI				· · · · · · · · · · · · · · · · · · ·	<del></del>
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PATENT APPLICATION/PCT

## IN THE LINITED STATES PATENT AND TRADEMARK OFFICE

IN THE UNITED S	TATES PATENT AND TRADEMARK OFFICE
IN RE APPLICATION OF:	ATTORNEY'S DOCKET NUMBER
Yoichi KAWASHIMA Yukio KUSU Hiroshi YAMADA	0388-020199
PCT/JP00/05458	
ENTITLED  EYE DROPS O	CONTAINER HAVING DENT PORTION
To BOX PCT Attention: DO/EO/US	
Commissioner for Patents Washington, D.C. 20231  EX	PRESS MAIL CERTIFICATE
"Express Mail" Label Number _	EL873414274US
Date of Deposit <u>February 15,</u>	2002
I hereby certify that the fo	ollowing attached paper or fee
Designated/E Filing Under 3 And Check In Lette WO 01/12125 Specification (	mittal Letter To The United States lected Office (DO/EO/US) Concerning A 35 U.S.C. 371 (original and two (2) copies) The Amount Of \$1020.00 For Filing Fee; er Recognizing Attorneys (2 pp.); Preliminary Amendment; Front Page And Search Report (4 pp.); [13 pp.), Claims (1 p.) And Abstract (1 p.); Eight Sheets Of Drawings
is being deposited with the United service under 37 C.F.R. §1.10 of Commissioner for Patents, Wash	d States Postal Service "Express Mail Post Office to Addressee" on the date indicated above and is addressed to the Assistant nington, D.C. 20231.
	(Typed name of person mailing paper or fee)  (Signature of person mailing paper or fee)

10/049694

JC11 Rec'd PCT/PTO 1 5 FEB 2002

APPLICATION/PCT PATE Attorney Docket No. 0388-020199

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yoichi KAWASHIMA

EYE DROPS CONTAINER HAVING DENT

Yukio KUSU

**PORTION** 

Hiroshi YAMADA

International Application

No. PCT/JP00/05458

:

International Filing Date

14 August 2000

Priority Date Claimed

17 August 1999

Serial No. Not Yet Assigned

Filed Concurrently Herewith

Pittsburgh, Pennsylvania February 15, 2002

### **LETTER RECOGNIZING ATTORNEYS**

#### **Box PCT**

Commissioner for Patents Washington DC 20231

Sir:

Enclosed are appropriate papers for initiating the national phase of the aboveidentified PCT application, comprising a specification, claims, abstract and drawings. A Preliminary Amendment is also enclosed.

Please accept the application for purposes of granting a filing date and recognize Russell D. Orkin, Richard L. Byrne and Blynn L. Shideler, Registration Nos. 25,363, 28,498 and 35,034, respectively, as attorneys in this application, pending the filing of a formal Declaration and Power of Attorney.

Kindly direct communications relating to this application to Russell D. Orkin.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON ORKIN & HANSON, P.C.

By\_

Russell D. Orkin, Reg. No. 25,363

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Pittsburgh, PA 15219-1818 Telephone: 412/471-8815

Facsimile: 412/471-4094